



P.C.T.  
D TO REG'D PCT/PTO 31 JAN 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCOTT A. LOILER  
TERENCE R. FLOTTE  
NICHOLAS MUZYCZKA  
MARK A. ATKINSON

Serial No.: 10/511,914

Filed: October 18

For: IMPROVED rAAV VECTORS (as  
amended)

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: 4300.014800

Customer No.: 23720

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

CERTIFICATE OF MAILING  
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

January 26, 2005

Date

Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Because the filing date of the present application is after June 30, 2003, a copy of the listed U.S. patent is not included.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R § 1.97(e)(1), Applicants hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present statement, as evidenced by the date of the enclosed International Preliminary Examination Report.

Applicants respectfully request that the listed document be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON  
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Date: January 26, 2005



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Form PTO-1449 (modified) **JAN 3 1 2005**

Atty. Docket No.

4300.014800

Serial No.

10/511,914

## List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## Applicant

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Filing Date:

October 18, 2004

Group:

Unknown

## U.S. Patent Documents

See Page 1

## Foreign Patent Documents

See Page 1

## Other Art

See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A3	6,344,436 B1	2/05/02	Smith <i>et al.</i>	514	2	
	A4						
	A5						
	A6						
	A7						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C4	PCT International Preliminary Examination Report from PCT/US03/12225, dated 11/2/04
	C5	
	C6	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.